



# GREAT ROC

CAPITAL SECURITIES LIMITED

鴻鵬資本證券有限公司

A Wholly-owned subsidiary of UKF (Holdings) Limited (HKEX stock code 1468)  
英婁(控股)有限公司之全資附屬公司(香港交易所上市編號1468)

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Date 日期 : \_\_\_\_\_

## SECURITIES SETTLEMENT INSTRUCTION

### 證券交收指示

#### ACCOUNT INFORMATION 帳戶資料

Account No. 帳戶號碼	Account Name 帳戶名稱
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#### INSTRUCTION 指示

- Receive** the following securities from the said Participant for my/our above account  
從所述參與者收取下列之股票
- Deliver** the following securities from my/our above account to the said Participant  
將下列股票轉交到所述參與者
- Transfer** the following securities to my account held with Great Roc Capital Sec Ltd .....  
將下列股票轉到本人名下其他鴻鵬資本證券帳戶

- Physical Scrip Withdrawal** 提取現貨 — Authorized Person Name (If need)  
被授權人姓名(如有需要時) \_\_\_\_\_  
Authorized Persons ID No (If need)  
被授權人身份證號碼(如有需要時) \_\_\_\_\_

- Physical Scrip Deposit** 存入現貨  
Please withdraw / deposit the following stock from / into the account as indicated above 請從上述賬戶提取 / 存入以下列股票

Participant Name 參與者名稱			
Participant ID / Investor No 參與者編號 / 投資者號碼	Settlement Date 交收日期		
Contact Person 聯絡人	Contact Tel No 聯絡人電話		

#### STOCK DETAILS 股票資料

Stock Code 股票代號	Stock Name 股票名稱	No. of Share 股票數量	Payment Instruction / Certificate No. 交收指示 / 股票編號

\*Please put "✓" on the appropriate box (FOP-Free of Payment, DVP-Delivery against Payment) 請於適當之方格內加上「✓」(FOP-免付款, DVP-貨銀對付)

CLIENT SIGNATURE 客戶簽署

Acknowledgement of Receipt 確認簽署

S.V.

S.V.

Company chop if applicable (公司蓋章, 如適用)

Company chop if applicable (公司蓋章, 如適用)

FOR OFFICE USE ONLY 只供內部填寫							
HANDLING FEE		CCASS		SYSTEM		REVIEWED / APPROVED BY	REMARKS
Fee / W/D Fee	CCASS (S) Charges	INPUT BY	CHECKED BY	INPUT BY	CHECKED BY	RO	