

Great Roc Capital Securities Limited

鴻鵬資本證券有限公司

香港聯合交易所參與者 · 證監會持牌法團中央編號 AXG710

Participant of The Stock Exchange of Hong Kong Limited · Licensed Corporation with the SFC (CE No.:AXG710)

香港干諾道中 168-200 號信德中心西翼 37 樓 3712 室

Suite 3712, West Tower, Shun Tak Centre, 168-200 Connaught Road Central, Hong Kong

<u>For B.V.I. and other non-Hong Kong registered companies, the following documents should be provided with the application:</u> <u>英屬處女群島及其他香港境外註冊公司須連同申請書及附上以下文件:</u>	
1.	Copies of the HONG KONG ID cards/passports of the Authorised Persons / Directors / Substantial Shareholders / ultimate principal beneficial owners named in the Securities Trading Account Application 列名於證券交易帳戶申請書各授權人士/董事/股東/最終實益持有人之身份證/護照的副本。
2.	Address proof of residence e.g. water / electricity / gas bills / bank statement (within the recent 3 months) of the directors/substantial shareholders/ ultimate principal beneficial owners. 董事/股東/最終實益持有人之住址證明 (如最近三個月印有董事/股東/最終實益持有人姓名的水電煤氣等賬單或銀行月結單等)。
3.	Certified true copy of Memorandum and Articles of Association. 組織章程大綱及章程細則的核證副本。
4.	Certified true copy of Certificate of Incorporation. 註冊成立證書的核證副本。
5.	A certificate of incumbency, or Registered Agents Certificate identifying the current directors and officers, verified or issued by the registered agent of the Company. 經本公司註冊代理核證或發出確認現任董事及高級人員的在職證書或註冊代理證書。
6.	A Certificate of Good Standing or document to the same extent, showing if the corporation is more than 12 months old, a current copy of the receipt of license fee issued by the relevant Registrar of Companies, or a document remitting the fee and stamped as having arrived at the Registers office. 顯示公司已成立 12 個月以上的已繳稅證書或作用相同的文件、最近期由有關公司註冊處發出的牌照費收據副本或匯費文件並由公司註冊處辦事處蓋章以示收妥。
7.	Original copy or certified true copy of Resolution of Company's Board authorising the opening of the account(s) and directors persons authorized. 授權開立帳戶及獲授權董事/人士的公司董事會決議案的正本或核證副本。
8.	Details of the true beneficial ownership of the company.(e.g. Register of Shareholders) 公司實益擁有權的真確資料。(如：股東登記冊)
9.	Register of Directors. 董事登記冊。
10.	Letter of Guarantee. 擔保書。

Remark 備註:

All copy of documents submitted to our company should be certified true with signature and date by a practicing solicitor or a practicing accountant. Alternatively, the same documents could be certified true by the designated staff at our Office upon your presenting the originals and copies. (Designated staff means personnel of Accounts and Compliance Department).

所有提交本公司的副本文件須經執業律師或執業會計師認證簽署並寫上日期。申請人亦可攜同文件正本及副本到本公司給指定職員認證。(指定職員指內部會計及合規部職員)